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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/658,332	09/08/2000	Teddy A. Demirjian	WIZZ.002DV1	2582

20995 7590 02/03/2003

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EXAMINER

SHIH, SALLY

ART UNIT	PAPER NUMBER
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3624

DATE MAILED: 02/03/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/658,332

Applicant(s)

DEMIRJIAN, TEDDY A.

Examiner

Sally Shih

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on 08 September 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-5 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-5 is/are rejected.
- 7) ☒ Claim(s) 1 and 5 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 6 & 7. 6) ☐ Other: _____

DETAILED ACTION

1. This application has been reviewed. Original claims 1-5 are pending. The rejections cited are as stated below:

Claim Objections

Claims 1 and 5 are objected to because of the following informalities: The term “and/or” was used. The term “or” renders the claims vague and indefinite. Appropriate correction is required.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

Claims 1-5 are rejected under 35 U.S.C. 102(e) as being anticipated by Moran (United States Patent Number 6,430,542 B1).

Claim 1. Moran discloses an information management system comprising:

a first database storing first information for viewing and manipulation by one or more system users, said first information being manipulated and/or viewed by system users but not being used directly to define system operation or function (Abstract, Fig. 2 and column 37, lines 11-14);

a user profile database wherein said database has stored therein identification information which correlates at least one of said system users with a user specific data manipulation logic and/or data display format that at least in part defines system operation (Abstract, Fig.2, column 37, lines 36-46);

a rules database storing information defining system response to user modifications to said first information; wherein at least one system response to a first user modifying said first information comprises altering the user profile of at least a second user (Abstract, Fig. 2 and column 37, lines 61-67 and column 38, lines 1-4).

Claim 2. Moran discloses databases and associated application programming are web hosted, and wherein said plurality of system users interface with said databases and said associated application programming using a web browser program (Abstract, column 6, lines 8-40).

Claim 3. Moran discloses a computer readable medium having stored thereon instructions which cause a general purpose computer to perform the steps of

open client accounts; record client information; execute business transactions; and updating at least one user profile in response to one or more of the above steps (Abstract and Fig. 3, 9, 10, 11A-11B and column 7, lines 35-54).

Claim 4. Moran discloses a method of managing transactions comprising the steps of open client accounts; record client information; execute business transactions; and updating at least one user profile in response to one or more of the above steps (Abstract and Fig. 3, 9, 10, 11A-11B and column 41, lines 23-43).

Claim 5. Moran discloses a method of managing financial portfolios comprising: storing information in a database (Abstract, Fig. 2 and column 43, lines 36-44); assigning a user profile to each of a set of users, wherein said user profile defines the information in said database which is available to a user, and/or the data manipulation in said database that the user may perform (Abstract and Fig. 3, 9, 10, 11A-11B); defining a set of rules governing how a first user action such as altering information in said database is to affect user profiles of users other than said first user (Abstract and Fig. 3, 9, 10, 11A-11B and column 37, lines 61-67 and column 38, lines 1-4); automatically updating in accordance with said rules information in said database, wherein said updating is in response to said first user's activity (Abstract and Fig. 3, 9, 10, 11A-11B, column 9, lines 21-35, column 37, lines 61-67 and column 38, lines 1-4); and automatically updating in accordance with said automatic update of information said user profile of said first user and said user profiles other than said first user's profile in

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response to said first user's activity (Abstract and Fig. 3, 9, 10, 11A-11B, column 9, lines 21-35, column 37, lines 61-67 and column 38, lines 1-4).

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. USPN 5,706,499, USPN 5,847,708, USPN 5,978,779, USPN 6,275,937 B1 and JP2001175672A are cited of interest.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sally Shih whose telephone number is 703-305-8550. The examiner can normally be reached on Flexible Schedule.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vincent Millin can be reached on 703-308-1065. The fax phone numbers for the organization where this application or proceeding is assigned are 703-305-7687 for regular communications and 703-305-7658 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1113.

sys
January 29, 2003



VINCENT MILLIN
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 3600